



PATENT

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March 1, 2004
Date

Phoebe E. Pogson
Phoebe E. Pogson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|-------------|--|----------------------|-----------|
| Appl. No. : | 09/833,029 | Confirmation No. : | 1338 |
| Applicant : | Jason B. Elledge | | |
| Filed : | April 10, 2001 | Attorney Docket No.: | 500188.03 |
| Art Unit : | 3723 | Customer No. : | 27,076 |
| Examiner : | Eziamara Anthony Ojini | | |
| Title : | APPARATUS FOR IN-SITU ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME | | |

Commissioner for Patents
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Alexandria, VA 22313-1450

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TECHNOLOGY CENTER R3700

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Sir:

Applicant acknowledges receipt of the Notice of Non-Compliant Amendment dated February 12, 2004. Pursuant to the Notice, applicant has enclosed herewith the "Amendments to the Claims" section in its entirety.

Amendments to the Claims are reflected in the listing of claims which begins on page (2) of this paper.

Remarks begin on page (8) of this paper.

MAR 05 2004

FEES TRANSMITTAL SHEET (FOR FY 2004)

Complete If Known

Application No. 09/833,029
 Filing Date April 10, 2001
 First Named Inventor Jason B. Ellledge
 Group Art Unit 3723
 Examiner Eziamara Anthony Ojini
 Atty. Docket Number 500188.03

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1001 | 770 | 2001 | 385 | <input type="checkbox"/> Utility Filing Fee |
| 1002 | 340 | 2002 | 170 | <input type="checkbox"/> Design Filing Fee |
| 1003 | 530 | 2003 | 265 | <input type="checkbox"/> Plant Filing Fee |
| 1004 | 770 | 2004 | 385 | <input type="checkbox"/> Reissue Filing Fee |
| 1005 | 160 | 2005 | 80 | <input type="checkbox"/> Provisional Filing Fee |

Subtotal (1) \$ 0

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|--------|-------|---------|----------|
| Total 19 | - 2020 | = 0 | x \$ 18 | = \$ 0 |
| Ind. 4 | - 4 | = 0 | x \$ 86 | = \$ 0 |
| Multiple Dependent Claims | | | x \$ | = \$ |

Subtotal (2) \$ 0

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent Claim |
| 1204 | 86 | 2204 | 43 | Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - Late filing fee or oath | \$ |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 1053 | 130 | 2053 | 130 | Non-English specification | \$ |
| 1812 | 2,520 | 2812 | 2,520 | For Filing a Request for <i>ex parte</i> Reexamination | \$ |
| 1804 | 920 | 2804 | 920 | Requesting publication of SIR prior to Examiner action | \$ |
| 1805 | 1,840 | 2805 | 1,840 | Requesting publication of SIR after Examiner action | \$ |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | \$ |
| 1252 | 420 | 2252 | 210 | Extension for reply within 2 nd month | \$ |
| 1253 | 850 | 2253 | 475 | Extension for reply within 3 rd month | \$ |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within 4 th month | \$ |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within 5 th month | \$ |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | \$ |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | \$ |
| 1403 | 280 | 2403 | 145 | Request for oral hearing | \$ |
| 1814 | 110 | 2814 | 55 | Terminal Disclaimer Fee | \$ |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | \$ |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | \$ |
| 1501 | 1,330 | 2501 | 665 | Utility/Reissue issue fee | \$ |
| 1502 | 480 | 2502 | 240 | Design issue fee | \$ |
| 1503 | 640 | 2503 | 320 | Plant issue fee | \$ |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | \$ |
| 1807 | 50 | 2807 | 50 | Processing fee under 37 CFR 1.17(q) | \$ |
| 1806 | 180 | 2806 | 180 | Submission of IDS | \$ |
| 8021 | 40 | 28021 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | \$ |

Other fee (specify)

Subtotal (3) \$ 0

Total Amount of Payment: \$ 0

Submitted by:

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8800

Signature: *Mark W. Roberts*

Date: 3-1-04